

**FSA-2211**  
(05-15-15)

**U.S. DEPARTMENT OF AGRICULTURE**  
Farm Service Agency

Position 3

## APPLICATION FOR GUARANTEE

**INSTRUCTIONS TO LENDER: LOAN APPLICANT WILL COMPLETE PARTS A AND B. LENDER WILL COMPLETE PARTS C THROUGH I.**

**NOTE:** According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0155. The time required to complete this information collection is estimated to average 3.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.**

**PART A – LOAN APPLICANT INFORMATION**

1. APPLICANT'S NAME		2. CO-APPLICANT'S NAME		3. APPLICANT'S TELEPHONE NO. <i>(Include Area Code)</i>		
4. APPLICANT'S ADDRESS				5. APPLICANT'S 9 DIGIT SSN OR TAX ID NO.		
6. APPLICANT'S BIRTH DATE		7. CO-APPLICANT'S BIRTH DATE		8. CO-APPLICANT'S 9 DIGIT SSN		
9. TOTAL NUMBER OF HOUSEHOLD MEMBERS				11. ACRES OWNED		
10. TYPE OF OPERATION: <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> TRUST <input type="checkbox"/> JOINT OPERATION <input type="checkbox"/> CORPORATION <input type="checkbox"/> COOPERATIVE <input type="checkbox"/> L.L.C <input type="checkbox"/> OTHER <i>(Explain)</i> _____				12. ACRES RENTED		
13. MARTIAL STATUS: <input type="checkbox"/> MARRIED <input type="checkbox"/> UNMARRIED <input type="checkbox"/> DIVORCED <input type="checkbox"/> LEGALLY SEPARATED <input type="checkbox"/> WIDOWED						
14. Have you ever conducted business under any other name? If "YES", what name?					YES	NO
15. Have you or any member of the entity obtained a direct or guaranteed loan from USDA?						
16. If "YES" to Item 15, was the loan paid in full? If not paid in full, please explain:						
17. Have you or any member of the entity ever been in receivership, been discharged in bankruptcy, or filed a petition for bankruptcy?						
18. If "YES" to Item 17, provide details:						
19. Are you or any member of the entity delinquent on any debt to the United States Government?						
20. Are you (or members holding a majority interest if an entity applicant) a United States citizen?						
21. If "NO" to Item 20, are you a non-citizen national, or a qualified alien? (Please provide documentation)						
22. Are you a veteran? If "YES", indicate branch and dates of service:						
23. Are you an employee, related to an employee, or an associate of an employee, of the Lender or Farm Service Agency?						
24. Are you farming or ranching now? If "YES", number of years experience:						
25. If "NO" to Item 24, but you have operated a farm in the past, list dates:						
<b>Voluntary Information for Monitoring Purposes</b>						
Ethnicity, race, and gender information is requested in order to monitor FSA's compliance with Federal laws prohibiting discrimination against loan applicants and to determine if you qualify for targeted funds. You are not required to furnish this information, but are encouraged to do so. Failure to complete this information may result in you not receiving access to targeted funds for which you may be eligible. Entity applicants should base their answers on the ethnicity, race, and gender of the owners of a majority interest in the entity.						
26. ETHNICITY <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Not Hispanic or Latino		27. RACE <i>(Choose as many boxes as applicable)</i> <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> Asian <input type="checkbox"/> White <input type="checkbox"/> Black or African American			28. GENDER <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE	
<b>FSA USE ONLY:</b>		29A. DATE RECEIVED		29B. DATE COMPLETED		

The U.S. Department of Agriculture (USDA) prohibits discrimination against its customers, employees, and applicants for employment on the bases of race, color, national origin, age, disability, sex, gender identity, religion, reprisal, and where applicable political beliefs, marital status, familial or parental status, sexual orientation, or all or part of an individual's income is derived from any public assistance program, or protected genetic information in employment or in any program or activity conducted or funded by the Department. (Not all prohibited bases will apply to all programs and/or employment activities.) Persons with disabilities, who wish to file a program complaint, write to the address below or if you require alternative means of communication for program information (e.g., Braille, large print, audiotape, etc.) please contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). Individuals who are deaf, hard of hearing, or have speech disabilities and wish to file either an EEO or program complaint, please contact USDA through the Federal Relay Service at (800) 877-8339 or (800) 845-6136 (in Spanish).

If you wish to file a Civil Rights program complaint of discrimination, complete the USDA Program Discrimination Complaint Form, found online at [http://www.ascr.usda.gov/complaint\\_filing\\_cust.html](http://www.ascr.usda.gov/complaint_filing_cust.html), or at any USDA office, or call (866) 632-9992 to request the form. You may also write a letter containing all of the information requested in the form. Send your completed complaint form or letter by mail to U.S. Department of Agriculture, Director, Office of Adjudication, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, by fax (202) 690-7442 or email at [program.intake@usda.gov](mailto:program.intake@usda.gov). USDA is an equal opportunity provider and employer.

**PART B – LOAN APPLICANT CERTIFICATIONS**

**STATEMENT REQUIRED BY THE PRIVACY ACT**

The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 *et. seq.*). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.

**RIGHT TO FINANCIAL PRIVACY ACT OF 1978**

FSA has a right of access to financial records held by financial institutions in connection with providing assistance to you, as well as collecting on loans made to you or guaranteed by the government. Financial records involving your transaction will be available to FSA without further notice or authorization but will not be disclosed or released by this institution to another government Agency or Department without your consent except as required by law.

**THE FEDERAL EQUAL CREDIT OPPORTUNITY ACT** prohibits creditors from discriminating against applicants on the basis of race, color, religion, sex, national origin, marital status, or age (provided the applicant has the capacity to enter into a binding contract), because all or a part of the applicant's income derives from any public assistance program, or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act.

**CERTIFICATIONS, RESTRICTIONS, AND DISCLOSURE OF LOBBYING ACTIVITIES**

1. The loan applicant certifies that: if any funds, by or on behalf of the loan applicant, have been or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, or loan, the loan applicant shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
2. The loan applicant shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including contracts, subcontracts, and subgrants, under grants and loans) and that all subrecipients shall certify and disclose accordingly.
3. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this statement is a prerequisite for making or entering into this transaction and is imposed by 31 U.S.C. 1352. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.

**ABUSE OF CONTROLLED SUBSTANCES**

The loan applicant certifies that he or she as an individual, or any member of an entity applicant, has not been convicted under Federal or State law of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years, in accordance with 21 U.S.C. 889. The loan applicant also certifies that he/she as an individual, or any member of an entity applicant, is not ineligible for Federal benefits based on a conviction for the distribution of controlled substances or any offense involving the possession of a controlled substance under 21 U.S.C. 862.

**TEST FOR CREDIT**

The individual or authorized party certifies that the needed credit without a loan guarantee, cannot be obtained by the individual applicant, or in the case of an entity, the needed credit cannot be obtained considering all assets owned by the entity and all of the individual members. The provisions of this paragraph do not apply if the guarantee requested is a Conservation Loan guarantee.

**FEDERAL DEBT**

The loan applicant certifies and acknowledges that any amounts paid by FSA on account of the liabilities of the guaranteed loan borrower will constitute a Federal debt owing to FSA by the guaranteed loan borrower. In such case, FSA may use all remedies available to it, including offset under the Debt Collection Improvement Act, to collect the debt from the borrower. The Agency's right to collect is independent of the lender's right to collect under the guaranteed note and will not be affected by any release by the lender of my (our) obligation to repay the loan. Any Agency collection under this paragraph will not be shared with the lender.

**ACKNOWLEDGMENT**

*I certify that I accept and comply with the conditions stated hereon. I certify that the statements made by me in this application are true, complete, and correct to the best of my knowledge and belief and are made in good faith to obtain a loan. I understand that the approval period will not begin until a complete application has been filed. (Warning: section 1001 of Title 18, United States Code provides for criminal penalties to those who provide false statements on loans. If any information on this application is found to be false or incomplete, such finding may be grounds for denial of the requested credit and civil and criminal prosecution.)*

30A. APPLICANT'S SIGNATURE	30B. DATE
31A. CO-APPLICANT'S SIGNATURE	31B. DATE

**PART C - TYPE OF ASSISTANCE REQUESTED**

32. REQUESTED NO. _____ OF _____	33. LOAN TYPE <input type="checkbox"/> FO <input type="checkbox"/> OL <input type="checkbox"/> OL/LOC <input type="checkbox"/> CL	34. LOAN AMOUNT OR LOC CEILING \$
35. INTEREST RATE _____ % <input type="checkbox"/> FIXED <input type="checkbox"/> VARIABLE	36. INTEREST ASSISTANCE REQUESTED <input type="checkbox"/> YES <input type="checkbox"/> NO	37. REPAYMENT PERIOD (Years)
38. SUBSEQUENT LOAN IN SAME OPERATING CYCLE <input type="checkbox"/> YES <input type="checkbox"/> NO	39. REPAYMENT TERMS	

**PART D – FUNDS PURPOSE**

40. PURPOSES FOR WHICH FUNDS WILL BE USED	41. AMOUNT
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$

**PART E - PROPOSED SECURITY**

42. ITEM DESCRIPTION	43. LIEN POSITION	44. ESTIMATED VALUE	45. AMOUNT OF PRIOR LIEN	46. COLLATERAL VALUE
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
<b>TOTALS:</b>		\$	\$	\$

**PART F - ENVIRONMENTAL INFORMATION**

YES	NO	Based on a site visit to the loan applicant's operation and discussion of the operating plan, answer the following: (If "YES" please explain and attach to this form):
		47. <b>Floodplains:</b> If the loan will be secured by real estate, does the property contain any existing structures (i.e. farm dwellings or service buildings) or does the proposal involve development (i.e. construction, channeling, or other alteration) located within the 100-year floodplain as defined by FEMA floodplain maps, NRCS soil surveys, or other documentation?
		48. <b>State Water Quality Standards:</b> Did the investigation indicate the operation may violate State Water Quality Standards?
		49. <b>Historical and Archaeological Sites:</b> Does the property contain structures over 50 years old, structures with significant architectural features, or does the property have any historical significance which may make it eligible for the National Register of Historic Places?
		50. <b>Wetlands and Highly Erodible Land:</b> Will loan funds be used for any purpose that may contribute to the erosion of Highly Erodible Land or the Conversion of Wetlands; OR will loan funds be used to drain, dredge, fill, or otherwise manipulate any land or reduce the flow, circulation, or reach of water?
		51. <b>Hazardous Substances:</b> If the loan will be secured by real estate, did the "due diligence" investigation in respect to underground storage tanks and contamination from hazardous substances indicate contamination?

**PART G – INTEREST ASSISTANCE NEEDS ANALYSIS**

52. NET CASH FLOW (*inflows - outflows*) WITHOUT INTEREST ASSISTANCE

\$

If a feasible plan cannot be developed (net cash flow is negative) without interest assistance, the applicant should be considered for interest assistance. The applicant must project a feasible plan with interest assistance or the request will be denied.

53. NET CASH FLOW (*inflows - outflows*) WITH INTEREST ASSISTANCE

\$

**PART H – LENDER INFORMATION AND CERTIFICATION**

54. LENDING INSTITUTION NAME AND ADDRESS

Telephone No. (*Including Area Code*):

55. LENDER 9 DIGIT TAX ID NO.

56. REGULATORY AGENCY

**57. LENDER CERTIFIES THAT:**

- a. All applicable requirements in 7 C.F.R. Part 762, and FSA-2201 have been or will be met.
- b. It would not make the loan without an FSA guarantee. Not applicable for CL requests.
- c. The cash flow projection demonstrates that the proposed loan is sound and within the applicant's repayment ability, if applicable.
- d. The proposed collateral securing the loan is considered adequate.
- e. All documentation required by 7 C.F.R. Part 762, but not required to be submitted with the loan application, has been obtained and supports the data presented in this application.
- f. In connection with subsequent loans made within the same operating cycle, the loan applicant is in compliance with all loan agreements and all applicable certifications made are still valid.
- g. Application will be governed by Lender's Agreement dated: \_\_\_\_\_  
*(Date)*
- h. Application filed as a (*check one*):       CERTIFIED LENDER (CLP)       STANDARD ELIGIBLE LENDER (SEL)

58A. NAME OF LENDER'S REPRESENTATIVE

58B. TITLE OF LENDER'S REPRESENTATIVE

59A. AUTHORIZED LENDER REPRESENTATIVE'S SIGNATURE

59B. DATE

**PART I - SUPPORTING DOCUMENTATION** *(Please attach the following)***60. Certified Lender Program Lenders:**

- Narrative
- Balance sheet dated \_\_\_\_\_
- Cash flow budget, if applicable
- Description of the location of each tract of land to be farmed by the loan applicant *(This may be FSA Farm No., Legal Description, Plat Map, etc.)*
- Entity information *(name, address, social security or tax identification number, percent ownership, balance sheet for each member)*
- Conservation Plan *(CL Only)*
- Transition Plan for Organic or Sustainable Agricultural, if applicable

**61. Standard Eligible Lenders Applying for Guarantees of \$125,000 or Less:**

- Narrative
- Balance sheet dated \_\_\_\_\_
- Cash flow budget, if applicable
- Description of the location of each tract of land to be farmed by the loan applicant *(This may be FSA Farm No., Legal Description, Plat Map, etc.)*
- Entity information *(name, address, social security or tax identification number, percent ownership, balance sheet for each member)*
- Credit report
- Plan for servicing borrower
- Conservation Plan *(CL Only)*
- Transition Plan for Organic or Sustainable Agricultural, if applicable

**62. Standard Eligible Lenders Applying for Guarantees Greater than \$125,000:**

- Narrative
- Balance sheet dated \_\_\_\_\_
- Cash flow budget, if applicable
- Description of the location of each tract of land to be farmed by the loan applicant *(This may be FSA Farm No., Legal Description, Plat Map, etc.)*
- Entity information *(name, address, social security or tax identification number, percent ownership, balance sheet for each member)*
- Credit report
- Plan for servicing borrower
- Proposed loan agreement
- Verification of all debts greater than \$1,000
- Verification of non-farm income, if applicable
- 3 year production history, if applicable
- 3 year financial history *(income, expenses, balance sheets)*, if applicable
- If construction or development is proposed, a copy of the plans, specifications, and schedule
- Conservation Plan *(CL Only)*
- Transition Plan for Organic or Sustainable Agricultural, if applicable